



SEC Municipal Advisor Rule
Evidence of IRMA Exemption

July 19, 2016

To Whom It May Concern:

By publicly posting the following written disclosure, the Town of Cave Creek (“the Town”), intends that market participants receive and use it for purposes of the independent registered municipal advisor exemption to Rule 158 of the Securities and Exchange Commission regarding Registration of Municipal Advisors (the SEC Municipal Advisor Rule).

The Town has retained an independent registered municipal advisor. The Town is represented by and will rely on its municipal advisor, PFM Financial Advisors LLC (“PFM”) to provide advice on proposals from financial services firms concerning the issuance of all municipal securities and municipal financial products. The Town’s primary contact at PFM is Darren Hodge (hodged@pfm.com or 855-885-9621). This certificate may be relied upon so long as this letter remains posted on the Town’s website.

Proposals and other communications may be addressed to the Town’s Finance Director, Robert Weddigen at 36722 N. Cave Creek Rd., Cave Creek, AZ 85331 or by email at rweddigen@cavecreek.org. If the written proposal received is seriously considered by the Town, then the Town will share the document with its financial advisor.

Questions or requests for additional information regarding the Town’s relationship with its municipal advisor should be addressed to my attention.

Sincerely,

Robert Weddigen
Finance Director